

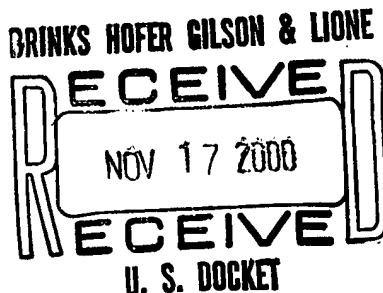


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/661,222 | 09/13/2000 | John K. Overton | 10406/43 |

Jonathan E Retsky
Brinks Hofer Gilson & Lione
P O Box 10395
Chicago, IL 60610



FORMALITIES LETTER



OC000000005546610

Date Mailed: 11/13/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 475.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/05/2001 ETULU1 00000011 09661222

01 FC:205
02 FC:21565.00 OP
55.00 OP

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on January 30, 2001



#3

Date of Deposit

Joseph F. Hetz, Esq. - Reg. No. 41,070

Name of applicant, assignee or
Registered Representative

Signature

Case No. 10406/43

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

JOHN K. OVERTON ET AL.

Serial No.: 09/661,222

Examiner:

Group Art Unit: 2171

Filed: September 13, 2000

For: NETWORK DISTRIBUTED TRACKING
WIRE TRANSFER PROTOCOL

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF
NONPROVISIONAL APPLICATION FILING DATE GRANTED**

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:


In accordance with the Notice to File Missing Parts of Nonprovisional Application Filing Date Granted dated November 13, 2000, a copy of which is attached, enclosed herewith for filing are the following documents:

- ☒ Fully executed Declaration for Patent Application (2 pages), Power of Attorney (1 page) and Assignment (3 pages) for the above-referenced patent application.

- ☒ Applicant is:
- ☒ a small entity
- ☐ other than small entity
- ☒ 1 Check totaling \$65.00 for:
- ☐ Filing Fee of \$____.
- ☒ Surcharge of \$65.00.
- ☐ Additional Claim Fees of \$____.
- ☒ 1 Check totaling \$40.00 for:
- ☒ Assignment Recordation Fee of \$40.00.
- ☒ Petition for Extension of Time (37 C.F.R. § 1.136(a)) to file missing parts (in duplicate).
- ☒ Other: Check - \$55.00 for One-Month Extension of Time; Postcard Receipt.
- ☒ The Commissioner is hereby authorized to charge any deficiencies in fees or credit overpayment to Deposit Account No. 23-1925. A duplicate copy of this paper is enclosed.

Respectfully submitted,

Dated: January 30, 2001



Joseph F. Hetz
Registration No. 41,070
Attorney for Applicant

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, IL 60610
(312)321-4200

rev. Jan-97
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SECTOR #3

| TRANSMITTAL LETTER | | | Case No. 10406/43 |
|---|-----------------------------------|----------|------------------------|
| Serial No. 09/661,222 | Filing Date September 13, 2000 | Examiner | Group Art-Unit 2171 |
| Inventor(s) JOHN K. OVERTON ET AL. | | | |
| Title of Invention NETWORK DISTRIBUTED TRACKING WIRE TRANSFER PROTOCOL | | | |

MAILED
 JAN 31 2001
 PATENT & TRADEMARK OFFICE

RECEIVED
 FEB 01 2001
 TRADEMARK OFFICE

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Notice to File Missing Parts of Nonprovisional Application (1 page); Response to Notice to File Missing Parts of Nonprovisional Application (2 pages in duplicate); Fully executed Declaration for Patent Application (2 pages); Power of Attorney (1 page); Assignment (3 pages); Assignment Recordation Cover Sheet (1 page); Check-\$40.00 for Assignment Recordation Fee, Postcard Receipt.

- ☒ Small entity status of this application under 37 CFR § 1.27 has been established.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☒ Petition for a one month extension of time.
- ☒ A check in the amount of \$55.00 to cover the one month extension fee.
- ☐ The fee has been calculated as shown below:


| | Claims Remaining After Amendment | | Highest No. Previously Paid For | Present Extra |
|---|----------------------------------|-------|---------------------------------|---------------|
| Total | | Minus | | |
| Indep. | | Minus | | |
| First Presentation of Multiple Dep. Claim | | | | |

| Small Entity | |
|-----------------|-----------|
| Rate | Add'l Fee |
| x \$9 = | |
| x 40 = | |
| + \$135 = | |
| Total add'l fee | \$ |

| Other Than Small Entity | |
|-------------------------|-----------|
| Rate | Add'l Fee |
| x \$18 = | |
| x \$80 = | |
| + \$270 = | |
| Total add'l fee | \$ |

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$_____. A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$65.00 to cover the surcharge is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,


 Joseph F. Hetz
 Registration No. 41,070
 Attorney for Applicant

BRINKS HOFER GILSON & LIONE
 P.O. BOX 10395
 CHICAGO, ILLINOIS 60610
 (312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on January 30, 2001.

Date: January 30, 2001

Signature: _____

rev. Dec.-00

C:\Joe\OverX, Inc\10406-43 Resp Miss Parts, F. Papers One-Mo. Ext. Trans.doc